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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,434	03/17/2004	James Finn Aldridge	15436.177.1

Attn: William J. Athay
Workman Nydegger
1000 Eagle Gate Tower
60 E South Temple
Salt Lake City, UT 84111

CONFIRMATION NO. 3518

FORMALITIES LETTER

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100
OC000000012871669

Date Mailed: 06/04/2004



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.


Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

08/05/2004 HTECKLU1 00000088 10802434

01 FC:1051

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



WORKMAN / NYDEGGER

1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Phone: (801) 533-9800
Fax: (801) 328-1707

FAX TRANSMISSION COVER SHEET

Date: August 3, 2004
To: Missing Parts Division
United States Patent & Trademark Office
Fax: (703) 746-4060
Phone: (703) 308-9723
From: William J. Athay
Our File: 15436.177.1

YOU SHOULD RECEIVE 10 PAGE(S), INCLUDING THIS COVER SHEET. IF YOU
DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800


Comments:

Please see attached.

PRIVILEGED AND CONFIDENTIAL

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. 15436.177.1	
Applicant(s): James Finn Aldridge				
Application No. 10/802,434	Filing Date March 17, 2004	Examiner Unknown	Group Art Unit Unknown	
Invention: DENSE WAVELENGTH DIVISION MULTIPLEXING COARSE WAVELENGTH DIVISION MULTIPLEXING NETWORKS				
<p>I hereby certify that this <u>Response to Notice to File Missing Parts</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-4060</u> on <u>August 3, 2004</u> (Date)</p> <p><u>Kysa J. Nord</u> (Typed or Printed Name of Person Signing Certificate) <u>Kysa J. Nord</u> (Signature)</p> <p>OIPE JC117 AUG 03 2004 PATENT & TRADEMARK OFFICE</p> <p>Note: Each paper must have its own certificate of mailing.</p>				

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)				Docket No. 15436.177.1	
In Re Application Of: James Finn Aldridge					
Application No. 10/802,434	Filing Date March 17, 2004	Examiner Unknown	Customer No. 022913	Group Art Unit Unknown	Confirmation No. 3518
Invention: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION MULTIPLEXING NETWORKS					
<u>Mail Stop Missing Parts</u> COMMISSIONER FOR PATENTS:					
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>June 4, 2004</u> <small>Date</small>					
Enclosed herewith for filing are the following:					
<input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)					
<input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.					
<input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63.					
<input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.					
<input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.					
<input checked="" type="checkbox"/> Other (list):					
<p>PTO-2038 in the amount of \$130.00.</p> <div style="text-align: right;"></div>					

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**Docket No.
15436.177.1

In Re Application Of: James Finn Aldridge

Application No.
10/802,434Filing Date
March 17, 2004Examiner
UnknownCustomer No.
022913Group Art Unit
UnknownConfirmation No.
3518Invention: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION
MULTIPLEXING NETWORKSTO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts☒ Completion of application fees as calculated below:☐ Utility application filing fee☐ Design application filing fee☐ Total number of independent claims = _____☐ Total number of claims = _____☐ Multiple dependent claims☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor☐ Fee for processing an application filed with a non-English language specification☐ Fee for processing and retention of application

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month☐ Two months☐ Three months☐ Four months☐ Five months

from: _____

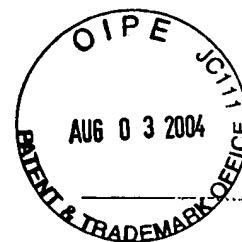
Date


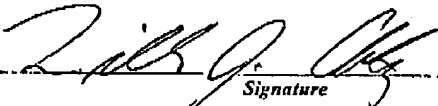
until: _____

Date

Total time extension fees _____

Total fees due \$130.00



Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)					Docket No. 15436.177.1	
In Re Application Of: James Finn Aldridge						
Application No. 10/802,434	Filing Date March 17, 2004	Examiner Unknown	Customer No. 022913	Group Art Unit Unknown	Confirmation No. 3518	
Invention: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION MULTIPLEXING NETWORKS						
<u>TO THE COMMISSIONER FOR PATENTS:</u> <u>Mail Stop Missing Parts</u>						
						
The fee of \$130.00 is to be paid as follows:						
<input type="checkbox"/> A check in the amount of the fee is enclosed.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-3178						
<input type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.						
 _____ Signature			Dated: August 3, 2004			
William J. Athay Attorney for Applicant Registration No. 44,515						
CUSTOMER NUMBER: 022913						
<div style="border: 1px solid black; padding: 5px; width: fit-content;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Correspondence</p></div>						
CC:						